

Exam. Code : 110104

Subject Code : 3833

**Bachelor of Vocation (Banking & Financial Services)**

**4<sup>th</sup> Semester**

**FINANCIAL MARKET OPERATIONS**

**Paper-BVC-406**

Time Allowed—3 Hours]

[Maximum Marks—50

**SECTION-A**

**Note** :— Attempt any **ten** parts of this question. Each part should not exceed 5 lines. Each question carries 1 mark.

1. Explain any **ten** parts of the following :

(a) Suspension of listing

(b) Rolling settlement

(c) Bonus Issue

(d) REPO

(e) Deep discount bond

(f) Private placement

(g) Call money market

- (h) Discount house of India
- (i) Who are market makers ?
- (j) What is a recognized stock exchange ?
- (k) Differentiate between new issue market and secondary market
- (l) Discuss the management of SEBI.

### SECTION-B

**Note :—** Attempt any **two** questions from this section. Answer to each question should not exceed **5** pages. Each question carries **10** marks.

2. "Different players play an important role in financial market". Discuss major players in financial market.
3. Define listing . What are the benefits accruing from listing of securities ? What are the consequences of non-listing?
4. What is 'book building'? Describe the process of book building. Also discuss the merits and demerits of book building.
5. Discuss the role of technology in the functioning of NSE.

### SECTION-C

**Note** :— Attempt any **two** questions from this section. Answer to each question should not exceed 5 pages. Each question carries 10 marks.

6. Who are the partners of the NSDL and CDSL ? Also explain the functioning of NSDL and CDSL.
7. Discuss the SEBI reforms of Investor protection for stock exchanges.
8. What are the major stock market indices in India ? Discuss.
9. Explain in detail the process of settlement.